

# Ordered Dictionaries

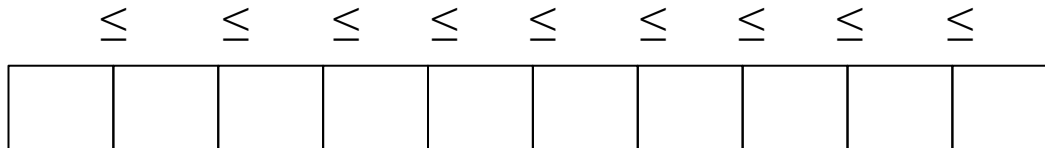


# Ordered Dictionaries

- Keys are ordered
- Perform usual dictionary operations (`insertItem`, `removeItem`, `findElement`) and **maintain an order** relation for the keys
  - we use an external comparator for keys
- New operations:
  - `closestKeyBefore(k)`, `closestElemBefore(k)`
  - `closestKeyAfter(k)`, `closestElemAfter(k)`
- A special sentinel, `NO_SUCH_KEY`, is returned if no such item in the dictionary satisfies the query

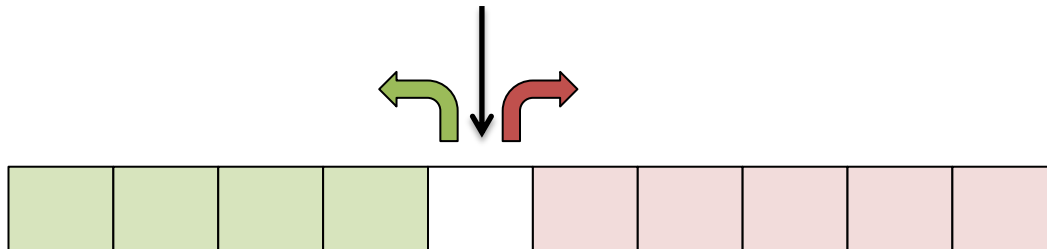
# Binary Search

- Items are ordered in a sorted sequence
- Find an element  $k$



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- Items are ordered in a sorted sequence
- Find an element  $k$ 
  - After checking a key  $j$  in the sequence, we can tell if item with key  $k$  will come before or after it



- Which item should we compare against first? The middle

# Binary Search: Find $k = 52$

**Algorithm** BinarySearch( $S, k, low, high$ ):

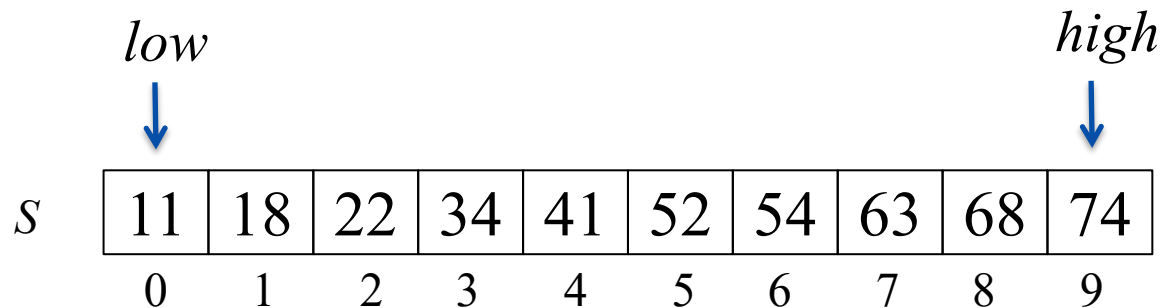
**if**  $low > high$  **then return**  $NO\_SUCH\_KEY$

$mid \leftarrow \lfloor (low + high) / 2 \rfloor$

**if**  $key(mid) = k$  **then return**  $elem(mid)$

**if**  $key(mid) < k$  **then return**  $BinarySearch(S, k, mid + 1, high)$

**if**  $key(mid) > k$  **then return**  $BinarySearch(S, k, low, mid - 1)$



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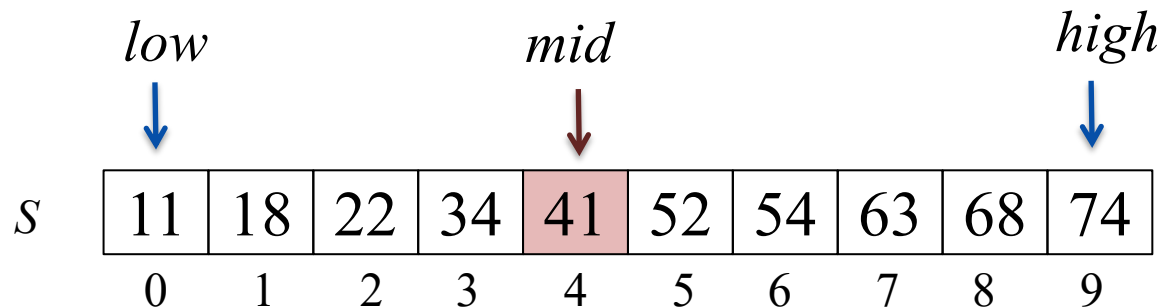
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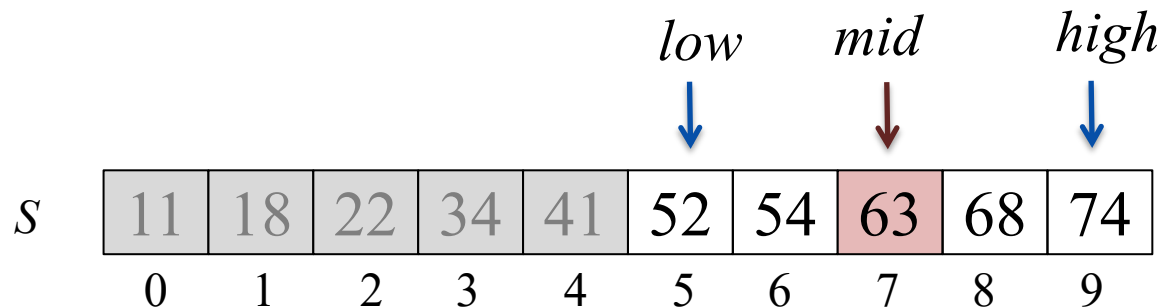
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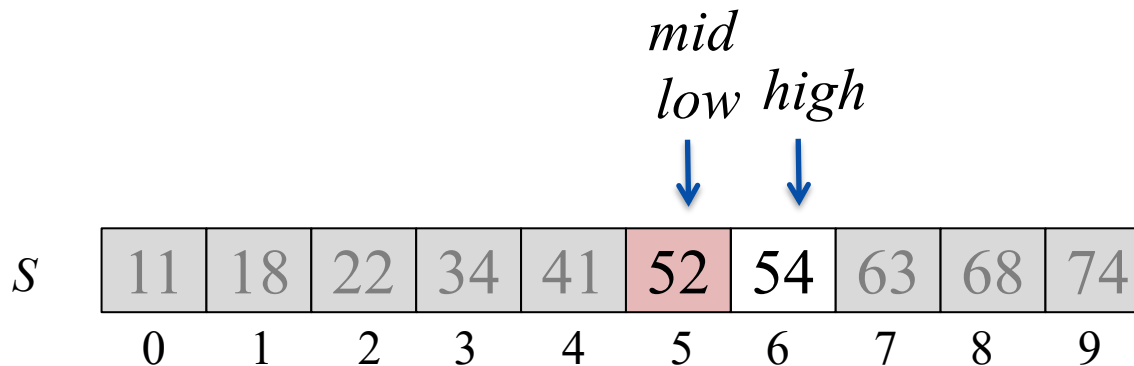
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# Binary Search

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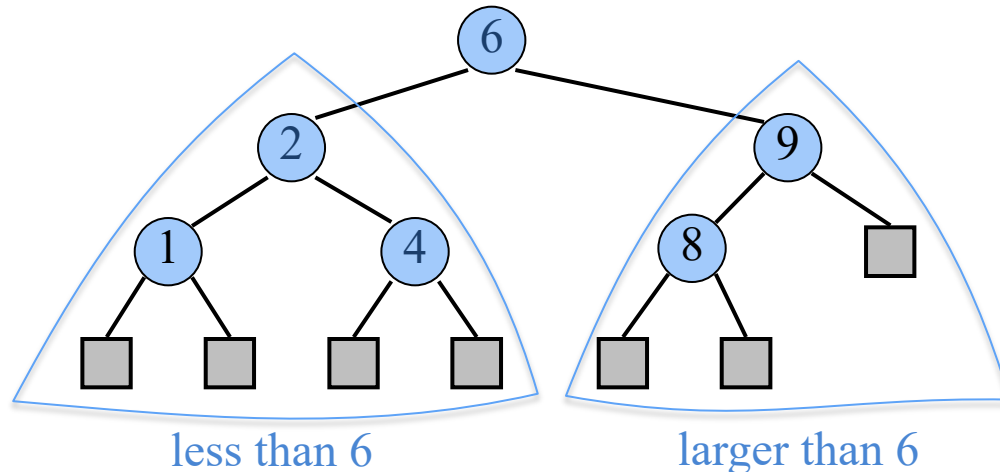
**Each** successive call to BinarySearch halves the input, so the running time is  $O(\log n)$

# Lookup Table

- A dictionary implemented by means of an **array-based** sequence which is sorted by key
  - why use an array-based sequence rather than a linked list?
- Performance:
  - **insertItem** takes  $O(n)$  time to make room by shifting items
  - **removeItem** takes  $O(n)$  time to compact by shifting items
  - **findElement** takes  $O(\log n)$  time, using binary search
- Effective only for
  - small dictionaries, or
  - when searches are the most common operations, while insertions and removals are rarely performed

# Binary Search Tree

- A **binary search tree** is a binary tree where each internal node stores a (key, element)-pair, and
  - each element in the **left subtree is smaller** than the root
  - each element in the **right subtree is larger** than the root
  - the left and right subtrees are binary search trees
- An inorder traversal visits items in ascending order

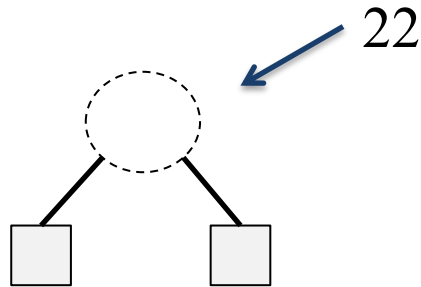


# BST – Insert( $k$ , $v$ )

- Idea
  - find a free spot in the tree and add a node which stores that item ( $k$ ,  $v$ )
- Strategy
  - start at root  $r$
  - if  $k < \text{key}(r)$ , continue in left subtree
  - if  $k > \text{key}(r)$ , continue in right subtree
- Runtime is  $O(h)$ , where  $h$  is the height of the tree

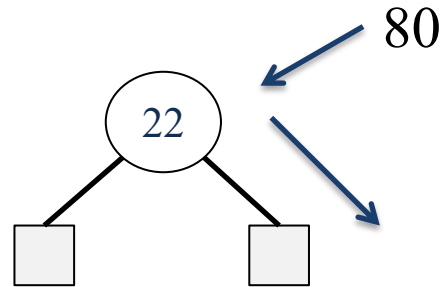
# BST – Insert Example

Insert the numbers 22, 80, 18, 9, 90, 20.



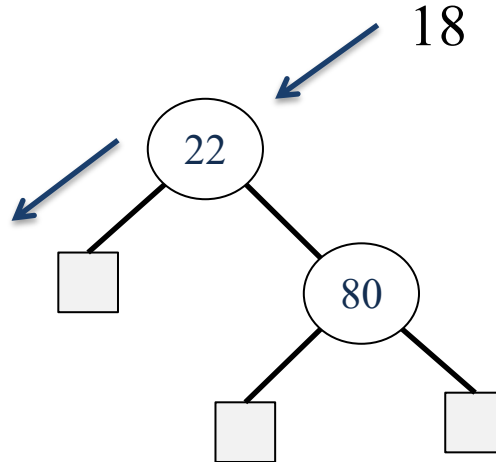
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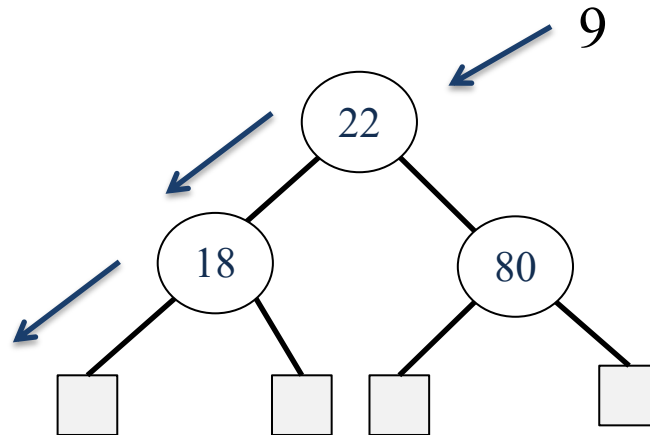
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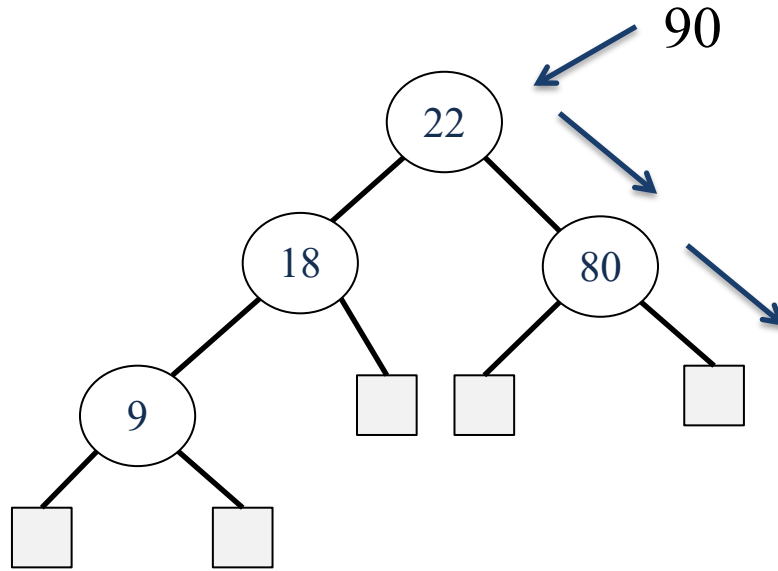
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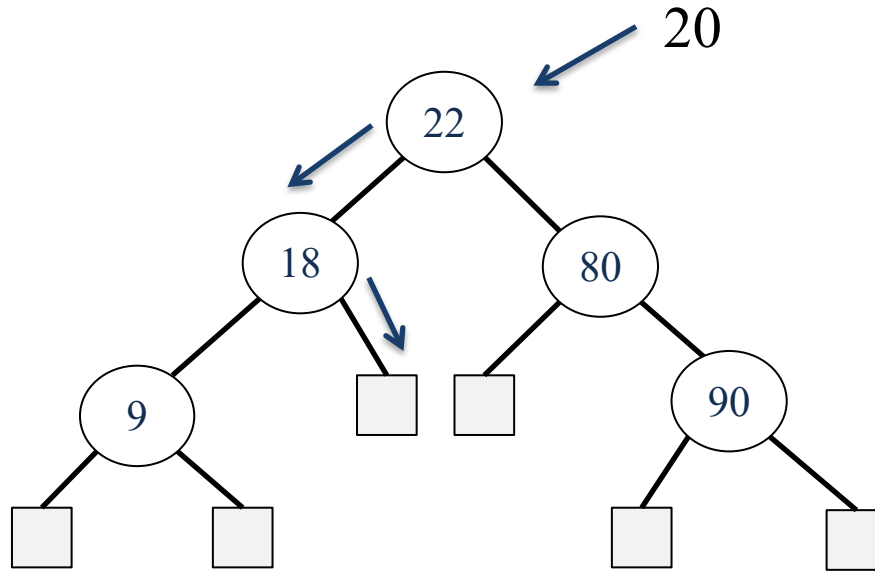
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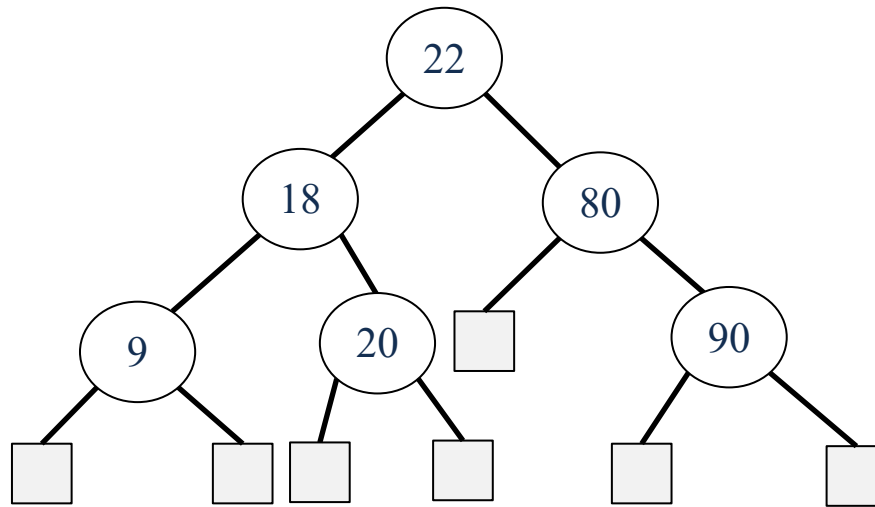
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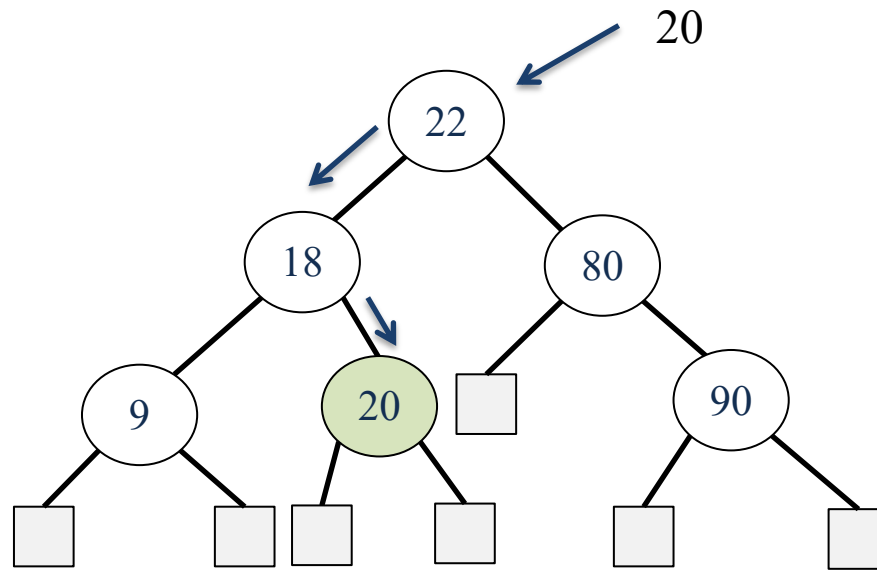


# BST - Find

- Find the node with key  $k$
- Strategy
  - start at root  $r$
  - if  $k = \text{key}(r)$ , return  $r$
  - if  $k < \text{key}(r)$ , continue in left subtree
  - if  $k > \text{key}(r)$ , continue in right subtree
- Runtime is  $O(h)$ , where  $h$  is the height of the tree

# BST – Find Example

Find the number 20

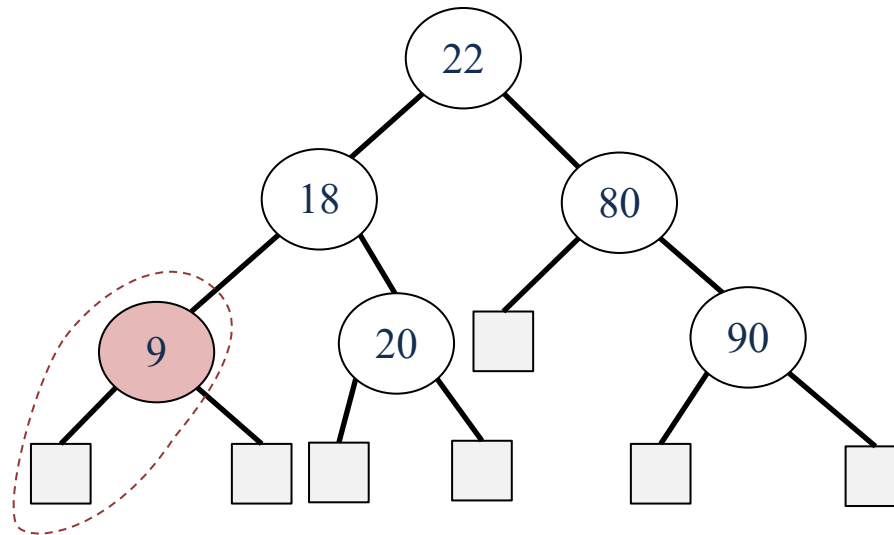


# BST - Delete

- Delete the node with key  $k$
- Strategy: let  $n$  be the position of  $\text{FindElement}(k)$ 
  - Remove  $n$  without creating “holes” in the tree
  - Case 0:  $n$  has two children with external nodes
  - Case 1:  $n$  has a child which is an internal node
  - Case 2:  $n$  has two children with internal nodes
- Runtime is  $O(h)$ , where  $h$  is the height of the tree

# BST – Delete Example

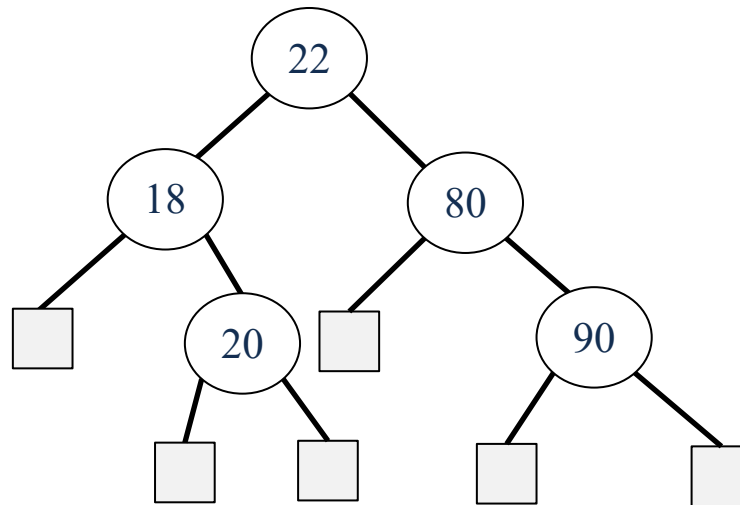
Case 0:  $n$  has two children which are external nodes



Delete 9

# BST – Delete Example

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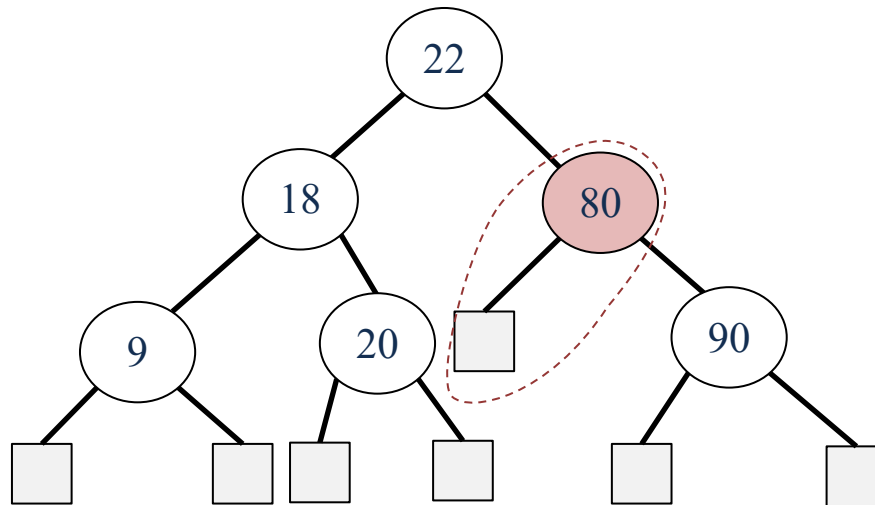


Delete 9



# BST – Delete Example

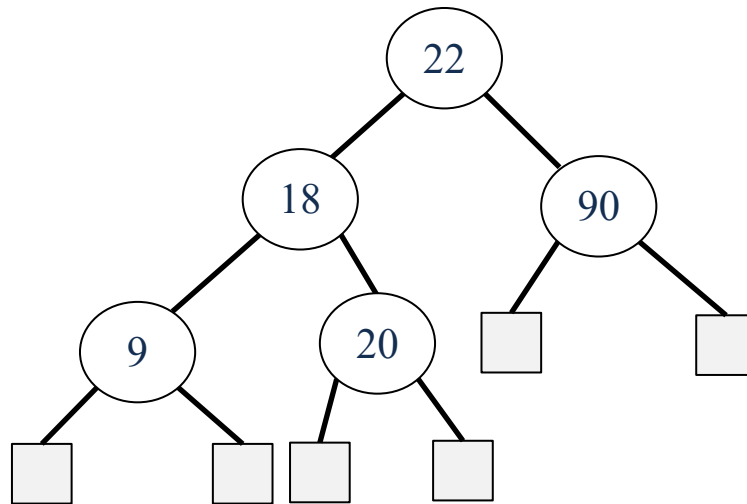
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Delete 80

# BST – Delete Example

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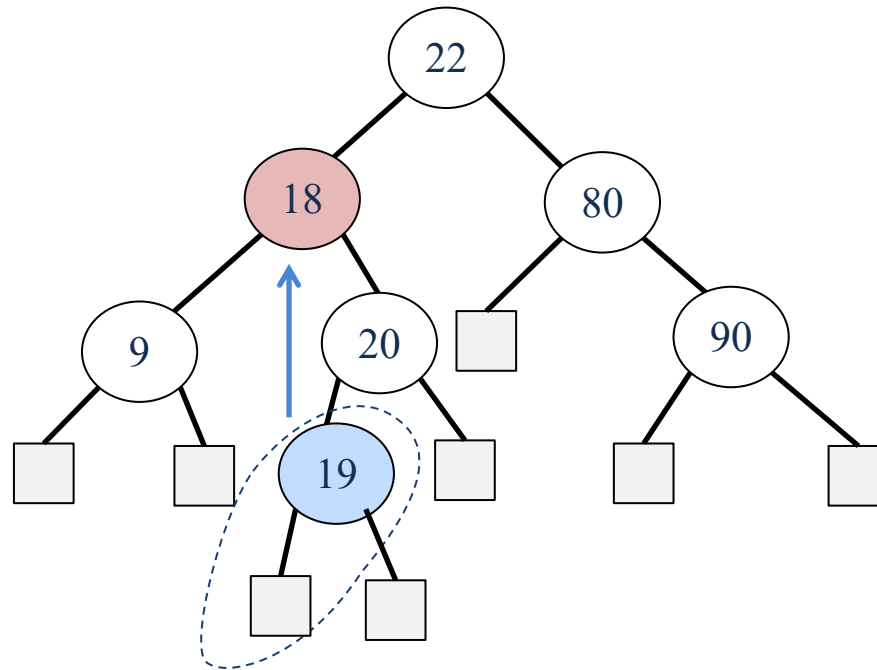
Delete 80

# BST – Delete Example

Case 2:  $n$  has two children which are internal nodes

Find the first internal node  $m$  that follows  $n$  in an inorder traversal

Replace  $n$  with  $m$



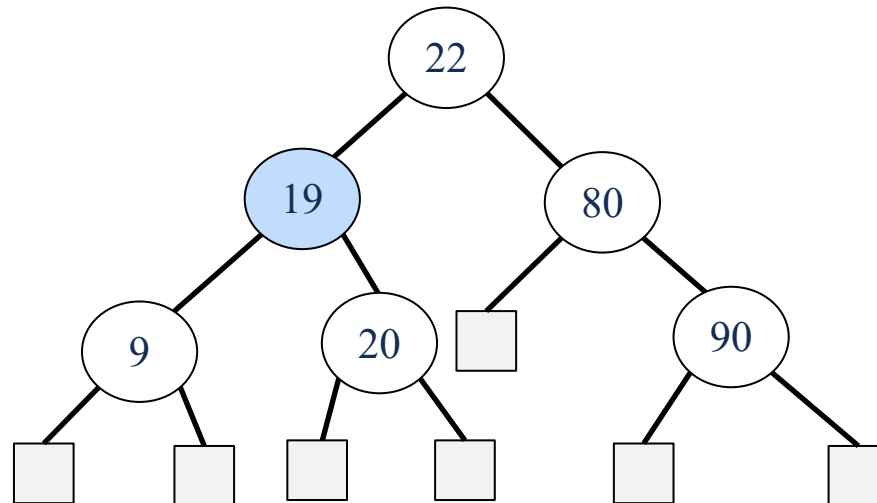
Delete 18

# BST – Delete Example

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Delete 18

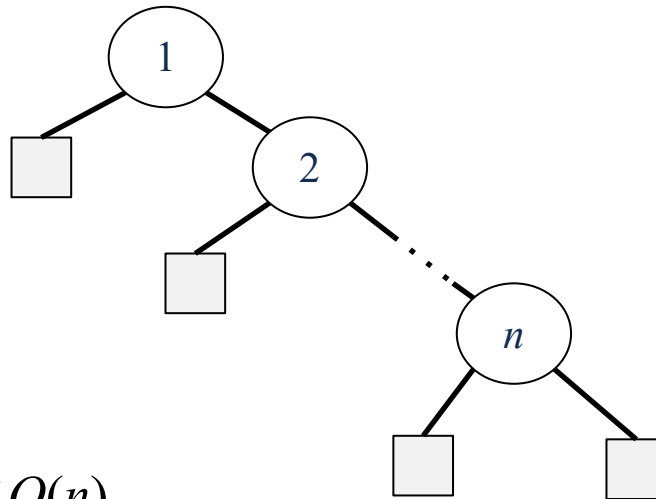
# BST Performance

Space used is  $O(n)$

Runtime of all operations is  $O(h)$

- What is  $h$  in the worst case?

Consider inserting the sequence  $1, 2, \dots, n-1, n$



Worst case height  $h \in O(n)$ .

- How do we keep the tree balanced?

# Dictionary: Worst-case Comparison

	<u>Unordered</u>		<u>Ordered</u>		
	Log file	Hash table	Lookup table	Binary Search Tree	Balanced Trees
size, isEmpty	$O(1)$	$O(1)$	$O(1)$	$O(1)$	$O(1)$
keys, elements	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$
<b>findElement</b>	$O(n)$	$O(n)**$	$O(\log n)$	$O(h)$	$O(\log n)$
<b>insertItem</b>	$O(1)$	$O(n)**$	$O(n)$	$O(h)$	$O(\log n)$
<b>removeElement</b>	$O(n)$	$O(n)**$	$O(n)$	$O(h)$	$O(\log n)$
closestKey closestElem	$O(n)$	$O(n)$	$O(\log n)$	$O(h)$	$O(\log n)$

\*\* Expected running time is  $O(1)$

# Other

- You are given two sorted integer arrays  $A$  and  $B$  such that no integer is contained twice in the same array.  $A$  and  $B$  are nearly identical. However,  $B$  is missing exactly one number. Find the missing number in  $B$ .
- You are given a sorted array  $A$  of distinct integers. Determine whether there exists an index  $i$  such that  $A[i] = i$ .